

Confirmation to Opt-Out Online Trade Execute Notification 取消網上成交即時通知

日期 / Date : _____

客戶姓名 Account Name: _____ 客戶號碼 Account Number: _____

產品 Product 股票 Stock 股票期權 Stock Option

本人/本公司現要求取消收取網上交易執行通知。本人/本公司確認知悉下述之風險披露內容，並確認明白不收取有關通知所涉及的風險。

I / We request to opt-out from receiving online trade execution notification. I / We acknowledge the content of risk disclosures below, and I / We declare I / We understand the risks involved in opt-out from trade execution notifications.

1) 網上交易執行通知是根據香港證監會的《降低及紓減與互聯網交易相關的黑客入侵風險指引》而實行的其中一項監察措施。其目的旨在通過即時收取交易通知，讓客戶可盡早了解其交易帳戶的交易狀況，及時偵測所有潛在的未經授權交易，從而採取措施以降低或紓減與互聯網交易相關的黑客入侵風險，以保護客戶的網上交易帳戶。

Online Trade Execution Notification is a monitoring measure implemented by the Hong Kong Securities and Futures Commission Guidelines for Reducing and Mitigating Hacking Risks Associated with Internet Trading. By receiving promptly notification on trade execution, clients are allowed to understand the trading status of their trading accounts as early as possible, in order to detect all potential unauthorized transactions in a timely manner, and take measures to reduce or mitigate hacking risks associated with internet trading, so to protect online trading account of clients.

2) 如客戶選擇取消收取網上交易執行通知，將有機會因未能及時發現其帳戶出現未經授權交易或被黑客入侵，因而可能引致損失。

Clients choose to opt-out from receiving online trade execution notification will be exposed to the risk of fail to promptly detect any unauthorized transaction or hacking activity of their trading accounts, and may result in losses.

客戶簽署及公司印章(如適用)
Authorized Signature(s) and Company Chop (if applicable)

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|----------|-----------|-------------|--------------|
| S.V. By: | Input By: | Checked By: | Approved By: |
|----------|-----------|-------------|--------------|